

## Medicare Provider Reporting Requirements Schedule

IPA Medicare Calendar Year Reporting Period: 2023



IPA Deliverable	Report Frequency	CY 2023 Reporting Period	IEHP Due Date	IEHP Policy Number (s)	Department(s)	File Naming Convention	SFTP Folder	Regulatory Measure(s)
Claims Timeliness Reports	Monthly	1/1-1/31	February 15, 2023	MA 20F - Claims and Payment Appeal Reporting	Claims	IPACode_MTR_MM_2023	Claims Timeliness / Month	42 CFR Part 422.520 & Social Security Act Sections 1876(g)(6)(A), 1816(2)(A)
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					
Table 3 Payment Organization Determinations and Reconsiderations (PYMT_C)	Monthly	1/1-1/31	February 15, 2023	MA 21F - Medicare MMP Reporting Requirements - IEHP DualChoice Cal MediConnect Plan (Medicare - Medicaid Plan)	Claims	IPACode_Universe_PYMTC_MM_2023	IPA Oversight / Year / Month	CMS Program Audit, Universe Submissions for Random File Reviews
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					
Table 1 Standard and Expedited Pre-Service Organization Determinations	Monthly	1/1-1/31	February 15, 2023	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_Universe_OD_MM_2023	IPA Oversight / Year / Month	CMS Program Audit, Universe Submissions for Random File Reviews
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					

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IPA Oversight-Monthly Denial Files	Monthly	1/1-1/31	February 15, 2023	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_DenFiles_MM_2023	IPA Oversight / Year / Month	FDR Oversight
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					
IPA Oversight-Monthly Approval File Review	Monthly	1/1-1/31	February 15, 2023	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_App_File_Rv_MM_2023	IPA Oversight / Year / Month	FDR Oversight
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					
IPA Oversight-Monthly Care Transition Cases Log	Monthly	1/1-1/31	February 15, 2023	Pending Policy TBD	UM	IPACode_TOC_MM_2023	IPA Oversight / Year / Month	FDR Oversight
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					
IPA Oversight-Monthly Long Term Care (LTC) Data Sheet	Monthly	1/1-1/31	February 15, 2023	MA 14F - Long-Term Care (LTC)	UM	IPACode_LTC_Data_MM_2023	FAX to IEHP's Long Term Care fax line at (909) 912-1045	FDR Oversight
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
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IPA Oversight-Monthly Second Opinion Log	Monthly	1/1-1/31	February 15, 2023	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_Second Opinion Log_MM_2023	IPA Oversight / Year/ Month	FDR Oversight
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					
Encounter Data	Monthly	1/1-1/31	February 15, 2023	MA 21A - Medicare Dual Choice Encounter Data Submission Requirements IEHP Provider EDI Manual- IV-4	EDI	IPACode_ENC_MM_2023	5010 / Encounters	Encounter Data Submission Requirement
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					
Care Management Log Version 2.3	Monthly	1/1-1/31	February 15, 2023	MA 25C2 - Care Management Requirements - Reporting Requirements	CM	IPACode_CM_MM_2023	IPA Oversight / Year / Month	Guidelines for Care Management California- Specific Reporting - CA1.5, CA1.6, CA1.12 Care Coordination and CMS/DHCS CA Demonstration Three-Way Contract 1-1-2018, section 2.5.2.8
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
		12/1-12/31	January 15, 2024					
Care Plan Outreach Log Version 1.1	Monthly	1/1-1/31	February 15, 2023	MA 25C2 - Care Management Requirements - Reporting Requirements	CM	IPACode_Outreach_MM_2023	IPA Oversight / Year / Month	DHCS Policy Guide 12-2022
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
		11/1-11/30	December 15, 2023					
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Interdisciplinary Care Team Log	Monthly	1/1-1/31	February 15, 2023	MA 25C2 - Care Management Requirements - Reporting Requirements	CM	IPACode_ICT_MM_2023	IPA Oversight / Year / Month	DHCS Policy Guide 12-2022
		2/1-2/28	March 15, 2023					
		3/1-3/31	April 15, 2023					
		4/1-4/30	May 15, 2023					
		5/1-5/31	June 15, 2023					
		6/1-6/30	July 15, 2023					
		7/1-7/31	August 15, 2023					
		8/1-8/31	September 15, 2023					
		9/1-9/30	October 15, 2023					
		10/1-10/31	November 15, 2023					
11/1-11/30	December 15, 2023							
12/1-12/31	January 15, 2024							
Credentialing and Recredentialing Report	Quarterly	1/1-3/31	May 15, 2023	MC 25B10 - Credentialing Standards, Credentialing Quality Oversight of Delegates	Credentialing (CR)	IPACode - YYYY-Q1 - Credentialing and Recredentialing Activities Report	/Credentialing/ and Email to CredentialingProfileSubmission@iehp.org	Per most current IEHP, NCQA, State and regulatory guidelines
		4/1-6/30	August 15, 2023			IPACode - YYYY-Q2 - Credentialing and Recredentialing Activities Report		
		7/1-9/30	November 15, 2023			IPACode - YYYY-Q3 - Credentialing and Recredentialing Activities Report		
		10/1-12/31	February 15, 2024			IPACode - YYYY-Q4 - Credentialing and Recredentialing Activities Report		
Review of Recred Report provided by IEHP (by the 5th of each month)	Quarterly	1/1-3/31	May 15, 2023	MC 25B10 - Credentialing Standards, Credentialing Quality Oversight of Delegates	Credentialing (CR)	IPA - YYYY-Q1 - Recredentialing Report (IEHP to IPA Code)	/Credentialing/ and Email to CredentialingProfileSubmission@iehp.org	Per most current IEHP, NCQA, State and regulatory guidelines
		4/1-6/30	August 15, 2023			IPA - YYYY-Q2 - Recredentialing Report (IEHP to IPA Code)		
		7/1-9/30	November 15, 2023			IPA - YYYY-Q3 - Recredentialing Report (IEHP to IPA Code)		
		10/1-12/31	February 15, 2024			IPA - YYYY-Q4 - Recredentialing Report (IEHP to IPA Code)		
Current Profile, Contract and W-9 (to include any applicable attachments i.e. Attachment I, Practice	As needed	Not Applicable	As required for Initial Credentialing Applications	MC 25B10 - Credentialing Standards, Credentialing Quality Oversight of Delegates		IPA Code_Last Name, First Name_YYYY_MM_DD (YYYY_MM-DD = Date submitted to IEHP)	/Credentialing/ and Email to CredentialingProfileSubmission@iehp.org	Per most current IEHP, NCQA, State and regulatory guidelines
Provider Submission via Excel Spreadsheet	As needed	Not Applicable	As required for Initial Credentialing Applications	MC 25B10 - Credentialing Standards, Credentialing Quality Oversight of Delegates		IPA_Code_Provider Profile Additions_YYYY_MM_DD (YYYY_MM-DD = Date submitted to IEHP)	/Credentialing/ and Email to CredentialingProfileSubmission@iehp.org	Per most current IEHP, NCQA, State and regulatory guidelines
Written and approved Credentialing, Recredentialing, Peer Review Policies and Procedures	As needed	1/1-12/31	Within thirty (30) days following Credentialing Committee approval or prior to on-site and/or desktop audit	MA	Credentialing	N/A	IPA Oversight/Credentialing	

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Claims Quarterly Provider Payment Dispute Resolution Report	Quarterly	1/1-3/31	April 29, 2023	MA 20F - Claims and Payment Appeal Reporting	Claims	IPACode_PDR_Q1_2023	Claims Timeliness / Year / Month	42 CFR Part 422.214, 417.558 and 422.520 & Social Security Act Sections 1852(k), 1894(b)(3)
		4/1-6/30	July 29, 2023			IPACode_PDR_Q2_2023		
		7/1-9/30	October 31, 2023			IPACode_PDR_Q3_2023		
		10/1-12/31	January 31, 2024			IPACode_PDR_Q4_2023		
Balance Sheet, Income Statement, Cash flow Statement,	Quarterly	1/1-3/31	May 15, 2023	MA 19A1 - Financial Viability	Financial Analysis	IPAName_Quarterly_IBNR_LOB_Q1_2023	Financial Statements	California Code of Regulations: 1300.75.4.2
		4/1-6/30	August 15, 2023			IPAName_Quarterly_IBNR_LOB_Q2_2023		
		7/1-9/30	November 15, 2023			IPAName_Quarterly_IBNR_LOB_Q3_2023		
		10/1-12/31	February 15, 2024			IPAName_Quarterly_IBNR_LOB_Q4_2023		
Organizational Informational Disclosures	Quarterly	1/1-3/31	May 15, 2023	MA 19A1 - Financial Viability	Financial Analysis	IPAName_Quarterly_Financial_Info_Disc_LOB_Q1_2023	Financial Statements	California Code of Regulations: 1300.75.4.2
		4/1-6/30	August 15, 2023			IPAName_Quarterly_Financial_Info_Disc_LOB_Q2_2023		
		7/1-9/30	November 15, 2023			IPAName_Quarterly_Financial_Info_Disc_LOB_Q3_2022		
		10/1-12/31	February 15, 2024			IPAName_Quarterly_Financial_Info_Disc_LOB_Q4_2023		

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Part C Organization Determinations/Reconsiderations - Claims	Quarterly	1/1-3/31	May 15, 2023	MA 25 - Delegation and Oversight	Claims	IPACode_PartC_Claims_Q1_2023	IPA Oversight / Year / Month	Part C Reporting - Organization Determinations/Reconsiderations
		4/1-6/30	August 15, 2023			IPACode_PartC_Claims_Q2_2023		
		7/1-9/30	November 15, 2023			IPACode_PartC_Claims_Q3_2023		
		10/1-12/31	February 9, 2024			IPACode_PartC_Claims_Q4_2023		
Part C Organization Determinations/Reconsiderations - Authorizations	Quarterly	1/1-3/31	May 15, 2023	MA 25 - Delegation and Oversight	UM	IPACode_PartC_Auths_Q1_2023	IPA Oversight / Year / Month	Part C Reporting - Organization Determinations/Reconsiderations
		4/1-6/30	August 15, 2023			IPACode_PartC_Auths_Q2_2023		
		7/1-9/30	November 15, 2023			IPACode_PartC_Auths_Q3_2023		
		10/1-12/31	February 9, 2024			IPACode_PartC_Auths_Q4_2023		
IPA Oversight-Quarterly UM Program Evaluation /HICE Report	Quarterly	1/1-3/31	May 15, 2023	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_UM Program Evaluation_HICE_Q1_2023	IPA Oversight / Year / Quarterly	FDR Oversight
		4/1-6/30	August 15, 2023			IPACode_UM Program Evaluation_HICE_Q2_2023		
		7/1-9/30	November 15, 2023			IPACode_UM Program Evaluation_HICE_Q3_2023		
		10/1-12/31	February 15, 2024			IPACode_UM Program Evaluation_HICE_Q4_2023		

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IPA Oversight- Quarterly UM Work plan Update / Evaluation /HICE Report	Quarterly	1/1-3/31	May 15, 2023	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_UM Program Evaluation_HICE_Q1_2023	IPA Oversight / Year / Quarterly	FDR Oversight
		4/1-6/30	August 15, 2023			IPACode_UM Program Evaluation_HICE_Q2_2023		
		7/1-9/30	November 15, 2023			IPACode_UM Program Evaluation_HICE_Q3_2023		

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Report		10/1-12/31	February 15, 2024			IPACode_UM Program Evaluation_HICE_Q4_2023		
IPA Oversight-Semi-Annual Chronic Care Improvement Program Activity (CCIP)	Semi-Annual (Q1 Plan)	1/1-2/30	March 15, 2023	MA 13E - Chronic Care Improvement Program (CCIP)	QM	IPACode_CCIP_Q1 Plan_2023	IPA Oversight / Year / Semi Annual	FDR Oversight
	Semi-Annual (Progress Update)	3/1-8/31	September 15, 2023			IPACode_CCIP_Progress Update_2023	IPA Oversight / Year / Semi Annual	
IPA Oversight-Semi-Annual QM Work plan Update / Evaluation	Semi-Annual	1/1-6/30	August 15, 2023	MA 25D1 - Quality Management Reporting Requirements	QM	IPACode_QM_Workplan Eval_Q1-Q2_2023	IPA Oversight / Year / Semi Annual	FDR Oversight
	Semi-Annual	7/1-12/31	February 15, 2024			IPACode_QM_Workplan Eval_Q3-Q4_2023	IPA Oversight / Year / Semi Annual	
Care Coordinator to Member Ratio Report	Annual	1/1-12/31	January 15, 2024	MA 25 - Section V - Organizational Structure and Staffing	CM	IPACode_CareCoord_2024	IPA Oversight / Care Coordinator Ratio	MMP Reporting 5.1 - Care Coordinator to Member Ratio
IPA Oversight-Annual UM Program Description	Annual	1/1-12/31	February 15, 2023	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_UM Program Description_2023	IPA Oversight / Year / Annual	FDR Oversight
IPA Oversight-Annual UM Work plan / Initial /HICE Report	Annual	1/1-12/31	February 15, 2023	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_Initial UM Workplan_ICE Report_2023	IPA Oversight / Year / Annual	FDR Oversight
IPA Oversight-Annual QM Program Description	Annual	1/1-12/31	February 28, 2023	MA 25D1 - Quality Management Reporting Requirements	QM	IPACode_QM Program Description_2023	IPA Oversight / Year / Annual	FDR Oversight



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IPA Oversight-Annual QM Workplan / Initial	Annual	1/1-12/31	February 28, 2023	MA 25D1 - Quality Management Reporting Requirements	QM	IPACode_QM_Workplan_2023	IPA Oversight / Year / Annual	FDR Oversight
IPA Oversight-Annual QM Program Evaluation	Annual	1/1-12/31	February 28, 2024	MA 25D1 - Quality Management Reporting Requirements	QM	IPACode_QM_Evaluation_2022	IPA Oversight / Year / Annual	FDR Oversight
Compliance-Annual Guidelines for Care Management Provider and Internal Staff Training Completion Records	Annual	1/1-12/31	February 28, 2023	MA 12A7 Coordination of Care	CM	IPACode_Model_Care_Trmg_2023	IPA Oversight / Year / Annual	Guidelines for Care Management
IPA Oversight-Annual HCC Workplan	Annual	1/1-12/31	February 15, 2023	MA 21C – Medicare Risk Adjustment and Hierarchical Condition Categories (HCC)	HCI	IPACode_HCC_Workplan_2023	IPA Oversight / Year / Annual	FDR Oversight
Care Coordinator training for supporting self-direction under the demonstration	Annual	1/1-12/31	January 15, 2023	MA 21F - Medicare MMP Reporting Requirements - IEHP DualChoice Cal MediConnect Plan (Medicare - Medicaid Plan)	CM	IPACode_Care_Coordinator_Trmg_2023	IPA Oversight / Year / Annual	California-Specific Reporting - CA3.2 Organizational Structure & Staffing
Credentialing and Recredentialing- Written and Approved Credentialing, Recredentialing, Peer Review Policies and Procedures	Precontractual and Annual DOA	1/1-12/31	As required for Precontractual Assessment and Annual DOA	MA 13G - Delegation Oversight Audit	Credentialing	IPA Name_Policy Name_MMDDYY	Audits / 2022 DOA Audit	FDR Oversight
Compliance- Compliance Program policies and procedures	Annual	1/1-12/31	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	MMCM Chapter 21
Compliance-FWA Program policies and procedures	Annual	1/1-12/31	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42, Part 422 and 423; Code of Federal Regulations, Title 42, §438.608 and §455.2; Federal False Claims Act, US Code, Title 31; Health & Safety Code §1348; Welfare & Institutions Code, §14043.1

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Compliance-Sanction and Exclusion Screenings policies and procedures and evidence activity was conducted prior to hire and monthly thereafter.	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	Audits / 2022 DOA Audit	MMCM Chapter 21
Compliance - Standards/Code of Conduct and evidence of distribution to New Hires and Annually thereafter	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 42 § 422.503(b)(4)(vi)(A)
Compliance - Copies of Compliance and FWA Trainings and evidence of completion records for New Hires and Annually thereafter.	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	Audits / 2022 DOA Audit	MMCM Chapter 21
Compliance - Compliance Committee Meeting Minutes and Sign Ins	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 42 §438.608(a)(iii)
Compliance - Annual Compliance Work Plan	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)and §§ 423.504(b)(4)(vi)(F)
Compliance - Annual Audit and Monitoring Plan	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)and §§ 423.504(b)(4)(vi)(F)
Compliance - Annual Risk Assessment Report	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)and §§ 423.504(b)(4)(vi)(F)

## Medicare Provider Reporting Requirements Schedule

IPA Medicare Calendar Year Reporting Period: 2023



IPA Deliverable	Report Frequency	CY 2023 Reporting Period	IEHP Due Date	IEHP Policy Number (s)	Department(s)	File Naming Convention	SFTP Folder	Regulatory Measure(s)
Compliance - Employee Universe including temporary, interns, volunteers and Governing Board	Annual	1/1-/12/31	As required for Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	Audits / 2022 DOA Audit	MMCM Chapter 21
Compliance - List of Downstream Entity/Subcontractors	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)
Compliance- HIPAA Privacy Program policies and procedures	Annual	1/1-12/31	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 45, Part 160, 162, and 164; U.S. Dept. of Health and Human Services (DHHS), section 13402(h)(2) of Public Law 111-5 (HITECH ACT).
Compliance - Copies of HIPAA Privacy Training and evidence of completion records for New Hires and Annually thereafter.	Annual	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	Audits / 2022 DOA Audit	MMCM Chapter 21
Compliance- Confidentiality Statement and evidence of completion records for New Hires and Annually thereafter.	Annual	1/1-/12/31	As required for Annual DOA	MA_23B HIPAA Privacy and Security	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 45 §164.530(b)(1)
Compliance- HIPAA Privacy Incident Universe Report	Annual	1/1-/12/31	As required for Annual DOA	MA_23B HIPAA Privacy and Security	Compliance	N/A	Audits / 2022 DOA Audit	Code of Federal Regulations, Title 45 §164.530(i)(1) and §164.530(b)(1)

## Medicare Provider Reporting Requirements Schedule

IPA Medicare Calendar Year Reporting Period: 2023



IPA Deliverable	Report Frequency	CY 2023 Reporting Period	IEHP Due Date	IEHP Policy Number (s)	Department(s)	File Naming Convention	SFTP Folder	Regulatory Measure(s)
Annual Audited Financial Statements, Including IBNR Certification Financial Statements, Including IBNR Certification	Annual	Fiscal Year	5 Months after end of your IPA's Fiscal Year	MC 19A - Financial Viability	Financial Analysis	IPACode_Annual Financial Statement_2022	Financial Statements	